



Docket: 71626

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re Application of:

Shelton et al.

Serial No.: 10/796,235

Group Art Unit: 1761

Filed: March 09, 2004

Examiner:

For:

LOW MOLECULAR WEIGHT CARBOXYALKYLCELLULOSE ESTERS  
AND THEIR USE AS LOW VISCOSITY BINDERS AND MODIFIERS IN  
COATING COMPOSITIONS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97**

In accordance with 37 CFR 1.56, the documents listed on the attached Information Disclosure Statement by Applicant are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. Copies of the cited documents are enclosed.

An International Search Report in connection with a related PCT application has been received. A copy is enclosed.

Applicants elect the option to pay the fee set forth in 37 CFR 1.17(p), for submission of an Information Disclosure Statement under 1.97(c). Please charge Deposit Account No. 05-0221 in the amount of \$180.00. The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.17 which may be required by

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this paper or credit any overpayment to Deposit Account No. 05-0221. A duplicate copy of this paper is attached.

Respectfully submitted,

Bernard J. Graves, Jr.  
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Registration No. 33,239

September 30, 2004  
Date

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CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Cathy L. Adkins  
Cathy L. Adkins

9/30/04  
Date



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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Attorney Docket Number	71626-03
				First Named Inventor	Shelton et al.
				<b>COMPLETE IF KNOWN</b>	
				Application Number	10/796,235
				Filing Date	March 09, 2004
				Group Art Unit	1761
Sheet	1	of	1	Examiner Name	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code <sup>2</sup> (if known)		

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		WO	96/20961		Eastman Chemical Company	07-11-1996	
		GB	1 122 006		Shinetsu Chem Ind Co.	07-31-1968	
		WO	01/35719		Eastman Chemical Company	05-25-2001	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author(in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.